Programming Logical Relations Proofs with the Beluga language

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Seminar Imperial College London September 5, 2014

Motivation

How to program and reason with formal systems and proofs?

- Formal systems (given via axioms and inference rules) play an important role when designing languages and more generally software.
- Proofs (that a given property is satisfied) are a fundamental part of software.

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- Formal systems (given via axioms and inference rules) play an important role when designing languages and more generally software.
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What good features should have a meta-language to program and reason with formal systems and proofs?

This Talk

Design and implementation of Beluga

- Introduction
- Example: Proof by logical relation
- Writing a proof in Beluga
- Conclusion and current work

"The limits of my language mean the limits of my world." - L. Wittgenstein

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Simply Typed Lambda-calculus (Gentzen-style)

Types and Terms

Types A, B ::= i $| A \rightarrow B$ Terms M, N ::= $x | \mathbf{c}$ | $\lim x M$ | $\lim x M$

Simply Typed Lambda-calculus (Gentzen-style)



Simply Typed Lambda-calculus (Gentzen-style)



Simply Typed Lambda-calculus with Contexts

Types and Terms
Types A, B::= i

$$|A \to B$$

Terms M, N ::= x | c
 $|am x.M|$
 $app M N$
Typing Judgment: $\Gamma \vdash M : A$
read as "M has type A in context Γ "
 $\frac{x : A \in \Gamma}{\Gamma \vdash x : A}$
 $\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash (lam x.M) : (A \to B)}$
 lam^{x}
 $\frac{\Gamma \vdash M : (A \to B)}{\Gamma \vdash (app M N) : B}$
 $read as "M steps to M''$
read as "M steps to M'''
 $\frac{M \longrightarrow M'}{app (lam x.M) N \longrightarrow [N/x]M}$
s/beta
 $\frac{M \longrightarrow M'}{app M N \longrightarrow app M' N}$
s/app

Typing rules

$$\frac{x:A \in \Gamma}{\Gamma \vdash x:A} \quad \frac{\Gamma, x:A \vdash M:B}{\Gamma \vdash (\operatorname{lam} x.M):(A \to B)} \operatorname{lam}^{x} \quad \frac{\Gamma \vdash M:(A \to B) \quad \Gamma \vdash N:B}{\Gamma \vdash (\operatorname{app} M N):B} \operatorname{app}$$

Evaluation rules

 $\frac{d \text{con rules}}{d \text{app (lam } x.M) \ N \longrightarrow [N/x]M} \text{ s/beta } \frac{M \longrightarrow M'}{d \text{app } M \ N \longrightarrow d \text{app } M' \ N} \text{ s/app}$

Typing rules

$$\frac{x:A \in \Gamma}{\Gamma \vdash x:A} \quad \frac{\Gamma, x:A \vdash M:B}{\Gamma \vdash (\operatorname{lam} x.M):(A \to B)} \operatorname{lam}^{x} \quad \frac{1}{P}$$

$$\frac{\Gamma \vdash M : (A \to B) \quad \Gamma \vdash N : B}{\Gamma \vdash (\operatorname{app} M N) : B} \operatorname{app}$$

Evaluation rules

$$\frac{1}{\operatorname{app}(\operatorname{Iam} x.M) N \longrightarrow [N/x]M}$$
s/beta

$$\frac{M \longrightarrow M'}{\text{app } M N \longrightarrow \text{app } M' N} \text{ s/app}$$

• What kinds of variables are used?

Typing rules

$$\frac{x:A \in \Gamma}{\Gamma \vdash x:A} \quad \frac{\Gamma, x:A \vdash M:B}{\Gamma \vdash (\operatorname{lam} x.M):(A \to B)} \operatorname{lam}^{x} \quad \frac{\Gamma \vdash M:(A \to B) \quad \Gamma \vdash N:B}{\Gamma \vdash (\operatorname{app} M N):B} \operatorname{app}$$

• What kinds of variables are used? Bound variables, Schematic variables

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- What operations on variables are needed?

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Any mechanization of proofs must deal with these issues; it is just a matter how much support one gets in a given meta-language.

Weak Normalization for Simply Typed Lambda-calculus

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Theorem

If $\vdash M : A$ then there exists a value V s.t. $M \longrightarrow^* V$, i.e. M halts.

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If $\vdash M : A$ then there exists a value V s.t. $M \longrightarrow^* V$, i.e. M halts.

Proof.

1 Define reducibility candidate \mathcal{R}_A

$$\begin{array}{rcl} \mathcal{R}_{\mathbf{i}} & = & \{M \mid M \text{ halts}\} \\ \mathcal{R}_{A \to B} & = & \{M \mid M \text{ halts and } \forall N \in \mathcal{R}_A, (\text{app } M N) \in \mathcal{R}_B\} \end{array}$$

- **2** If $M \in \mathcal{R}_A$ then M halts.
- **3** Backwards closed: If $M' \in \mathcal{R}_A$ and $M \longrightarrow M'$ then $M \in \mathcal{R}_A$.
- 4 Fundamental Lemma: If $\vdash M : A$ then $M \in \mathcal{R}_A$. (Requires a generalization)

Lemma (Main lemma)

If $\mathcal{D} : \Gamma \vdash M : A$ and $\sigma \in \mathcal{R}_{\Gamma}$ then $[\sigma]M \in \mathcal{R}_{A}$.

where $\sigma \in \mathcal{R}_{\Gamma}$ is defined as:

$$\frac{\sigma \in \mathcal{R}_{\Gamma} \quad N \in \mathcal{R}_{A}}{(\sigma, N/x) \in \mathcal{R}_{\Gamma, x:A}}$$

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Lemma (Main lemma) If $\mathcal{D} : \Gamma \vdash M : A \text{ and } \sigma \in \mathcal{R}_{\Gamma} \text{ then } [\sigma]M \in \mathcal{R}_A.$ Proof. Case $\mathcal{D} = \frac{x : A \in \Gamma}{\Gamma \vdash x : A} \text{ var}$ $\sigma \in \mathcal{R}_{\Gamma}$ by assumption $[\sigma](x) = M \in \mathcal{R}_A$ by lookup in $\sigma \in \mathcal{R}_{\Gamma}$ and substitution property

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```
Lemma (Main lemma)
If \mathcal{D} : \Gamma \vdash M : A and \sigma \in \mathcal{R}_{\Gamma} then [\sigma]M \in \mathcal{R}_{A}.
Proof.
Case \mathcal{D} = \frac{x : A \in \Gamma}{\Gamma \vdash x : A} var
\sigma \in \mathcal{R}_{\Gamma}
                                                                                                                            by assumption
[\sigma](x) = M \in \mathcal{R}_A
                                                                by lookup in \sigma \in \mathcal{R}_{\Gamma} and substitution property
                                                              \mathcal{D}_2
                                    \mathcal{D}_1
Case \mathcal{D} = \frac{\Gamma \vdash M : A \rightarrow B}{\Gamma \vdash \text{app } M N : B} app
\sigma \in \mathcal{R}_{\Gamma}
                                                                                                                            by assumption
N \in \mathcal{R}_{\Delta}
                                                                                                                                   by i.h. \mathcal{D}_2
M \in \mathcal{R}_{A \rightarrow B}
                                                                                                                                   by i.h. \mathcal{D}_1
M halts and \forall N' \in \mathcal{R}_A. (app M N' \in \mathcal{R}_B
                                                                                                                               by definition
app M N \in \mathcal{R}_B
                                                                                                       by previous lines (\forall-elim)
```

Programming logical relations proofs

Lemma (Main lemma) If $\mathcal{D} : \Gamma \vdash M : A$ and $\sigma \in \mathcal{R}_{\Gamma}$ then $[\sigma]M \in \mathcal{R}_{A}$. Proof. \mathcal{D}_1 Case $\mathcal{D} = \Gamma, x: A \vdash M : B$ $\frac{1}{\Gamma \vdash lam \times M : A \rightarrow B}$ lam $[\sigma](\operatorname{lam} x.M) = \operatorname{lam} x.([\sigma, x/x]M)$ halts (lam $x.[\sigma, x/x]M$) Suppose $N \in \mathcal{R}_A$. $[\sigma, N/x]M \in \mathcal{R}_B$ $[N/x][\sigma, x/x]M \in \mathcal{R}_B$ app (lam x. $[\sigma, x/x]M$) $N \in \mathcal{R}_B$ Hence $[\sigma](\text{lam } x.M) \in \mathcal{R}_{A \to B}$

by properties of substitution since it is a value

by I.H. on \mathcal{D}_1 since $\sigma \in \mathcal{R}_{\Gamma}$ by properties of substitution by Backwards closure by definition

Lemma (Main lemma) If $\mathcal{D} : \Gamma \vdash M : A$ and $\sigma \in \mathcal{R}_{\Gamma}$ then $[\sigma]M \in \mathcal{R}_{A}$. Proof. \mathcal{D}_1 Case $\mathcal{D} = \Gamma, x: A \vdash M : B$ $\frac{1}{\Gamma \vdash \text{lam } \times M : A \rightarrow B} \text{ lam}$ $[\sigma](\operatorname{lam} x.M) = \operatorname{lam} x.([\sigma, x/x]M)$ halts (lam $x.[\sigma, x/x]M$) Suppose $N \in \mathcal{R}_A$. $[\sigma, N/x]M \in \mathcal{R}_B$ $[N/x][\sigma, x/x]M \in \mathcal{R}_B$ app (lam x. $[\sigma, x/x]M$) $N \in \mathcal{R}_B$ Hence $[\sigma](\text{lam } x.M) \in \mathcal{R}_{A \to B}$

by properties of substitution since it is a value

by I.H. on \mathcal{D}_1 since $\sigma \in \mathcal{R}_{\Gamma}$

by properties of substitution

by Backwards closure

by definition

Challenging Benchmark

- Model different level of bindings lambda-binder, ∀ in reducibility definition R, quantification over substitutions and contexts
- Simultanous substitution and algebraic properties Substitution lemma, Reason about composition, decomposition, associativity, identity, etc.

a dozen such properties are needed

- Main known approaches:
 - Coq/Agda lack support for substitutions and binders
 - Twelf, Delphin are too weak (to do it directly)
 - Abella allows normalization proofs but lacks support for contexts

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Level 1: Contextual logical framework LF [HHP'93, TOCL'08]

- Compact representation of formal systems and derivations
- Higher-order abstract syntax and dependent types

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- - → abstract notion of contexts and substitution [POPL'08,LFMTP'13]

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- Compact representation of formal systems and derivations
- Higher-order abstract syntax and dependent types \rightsquigarrow support for α -renaming, substitution, adequate representations
- Contextual LF: Contextual types characterize contextual objects [TOCL'08]
 support well-scoped derivations
 abstract notion of contexts and substitution [POPL'08,LFMTP'13]

Level 2: Functional programming with indexed types [POPL'08, POPL'12]

Proof term language for first-order logic over a specific domain (= contextual LF) together with domain-specific induction principle and recursive definitions (= indexed recursive types)

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Proof term language for first-order logic over a specific domain (= contextual LF) together with domain-specific induction principle and recursive definitions (= indexed recursive types)

On paper proof	Proofs as functions in Beluga	
Case analysis Inversion Induction hypothesis	Case analysis and pattern matching Pattern matching using let-expression Recursive call	
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Step 1: Represent Types and Lambda-terms in LF



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LF representation in Beluga

datatype tp:type = | i: tp | arr: tp \rightarrow tp \rightarrow tp; $\begin{array}{l} \mbox{datatype tm: tp} \rightarrow \mbox{type =} \\ | \ \mbox{c : tm i} \\ | \ \mbox{lam: (tm A} \rightarrow \mbox{tm B}) \rightarrow \mbox{tm (arr A B)} \\ | \ \mbox{app: tm (arr A B)} \rightarrow \mbox{tm A} \rightarrow \mbox{tm B}; \end{array}$

Step 2: Represent the evaluation rules

Evaluation Judgment:
$$M \longrightarrow M'$$
 read as " M steps to M' "
 $app (lam x.M) N \longrightarrow [N/x]M$ s/beta $M \longrightarrow M'$
 $M \longrightarrow M'$
 $app M N \longrightarrow app M' N$ s/app
Value Judgment: M val read as " M is a value"
 $\overline{c \text{ val } v/c}$ $\overline{lam x.M \text{ val } v/lam}$

Step 2: Represent the evaluation rules

Evaluation Judgment:
$$M \longrightarrow M'$$
read as " M steps to M' " app (lam $x.M$) $N \longrightarrow [N/x]M$ $s/beta$ $M \longrightarrow M'$ $app (Iam x.M) N \longrightarrow [N/x]M$ $s/beta$ $M \longrightarrow M'$ Value Judgment: M valread as " M is a value" c val v/c $lam x.M$ val v/c $lam x.M$ val

LF representation in Beluga

```
datatype step : tm A→tm A→ type =
| s/beta :
    step (app (lam M) N) (M N)
| s/app : step M M' →
    step (app M N) (app M' N);
datatype val : tm A → type =
| v/c : val c
| v/lam : val (lam M);
```

Step 2: Represent the evaluation rules

Evaluation Judgment:
$$M \longrightarrow M'$$
 read as " M steps to M' "
 $app (lam x.M) N \longrightarrow [N/x]M$ s/beta $M \longrightarrow M'$
 $M \longrightarrow M'$
 $app M N \longrightarrow app M' N$ s/app
Value Judgment: M val read as " M is a value"
 $\overline{c \text{ val } v/c} = \frac{V/c}{lam x.M \text{ val } v/lam}$

LF representation in Beluga

datatype step : tm A→tm A→ type =
| s/beta :
 step (app (lam M) N) (M N)
| s/app : step M M' →
 step (app M N) (app M' N);
datatype val : tm A → type =
| v/c : val c
| v/lam : val (lam M);
datatype mstep : tm A → type =
| refl : mstep M M' →
 mstep M M' → mstep M' M'' →
 mstep M M' → val M' →
 halts M;

Reducibility candidates for terms $M \in \mathcal{R}_A$:

$$egin{array}{rcl} \mathcal{R}_{\mathbf{i}} &=& \{M \mid extsf{halts} \; M\} \ \mathcal{R}_{A o B} &=& \{M \mid extsf{halts} \; M extsf{ and } orall N \in \mathcal{R}_A, (extsf{app} \; M \; N) \in \mathcal{R}_B\} \end{array}$$

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Computation-level data types in Beluga

- [\vdash app M N] and [\vdash arr A B] are contextual types [TOCL'08].
- Note: \rightarrow is overloaded.
 - \rightarrow is the LF function space : binders in the object language are modelled by LF functions (used inside [])
 - \rightarrow is a computation-level function (used outside [])
- Not strictly positive definition, but stratified.

Reducibility candidates for substitutions $\sigma \in \mathcal{R}_{\Gamma}$:

$$\frac{\sigma \in \mathcal{R}_{\Gamma} \quad N \in \mathcal{R}_{A}}{(\sigma, N/x) \in \mathcal{R}_{\Gamma, x: A}}$$

Reducibility candidates for substitutions $\sigma \in \mathcal{R}_{\Gamma}$:

$$\frac{\sigma \in \mathcal{R}_{\Gamma} \quad N \in \mathcal{R}_{A}}{(\sigma, N/x) \in \mathcal{R}_{\Gamma, x: A}}$$

Computation-level data types in Beluga

```
datatype RedSub : (\Gamma:ctx){\sigma: \vdash \Gamma} ctype =
| Nil : RedSub [\vdash \uparrow]
| Cons : RedSub [\vdash \sigma] \rightarrow Reduce [\vdash A] [\vdash M] \rightarrow RedSub [\vdash \sigma M];
```

- Contexts are structured sequences and are classified by context schemas schema ctx = x:tm A.
- Substitution τ are first-class and have type Ψ ⊢ Φ providing a mapping from Φ to Ψ.

Theorems as Computation-level Types

Lemma (Backward closed) If $M \longrightarrow M'$ and $M' \in \mathcal{R}_A$ then $M \in \mathcal{R}_A$.

 $\textbf{rec closed} \ : \ [\vdash \texttt{step M M'}] \ \rightarrow \ \texttt{Reduce} \ [\vdash \texttt{A}] \ [\vdash \texttt{M'}] \ \rightarrow \ \texttt{Reduce} \ [\vdash \texttt{A}] \ [\vdash \texttt{M}] = \ ? \ ;$

Lemma (Main lemma) If $\Gamma \vdash M : A$ and $\sigma \in \mathcal{R}_{\Gamma}$ then $[\sigma]M \in \mathcal{R}_A$.

rec main : { $\Gamma: ctx$ }{M: [$\Gamma \vdash tm$ A]} RedSub [$\vdash \sigma$] \rightarrow Reduce [\vdash A] [\vdash M σ] = ?;

rec closed : [\vdash mstep M M'] \rightarrow Reduce [\vdash A] [\vdash M'] \rightarrow Reduce [\vdash A] [\vdash M] = ? ;

 $\texttt{rec main} : \{ \texttt{\Gamma:ctx} \} \{ \texttt{M}: [\texttt{\Gamma} \vdash \texttt{tm A}] \} \texttt{ RedSub } [\vdash \sigma] \rightarrow \texttt{Reduce } [\vdash \texttt{A}] [\vdash \texttt{M} \sigma] = \texttt{rec main} : \{ \texttt{T:ctx} \} \{ \texttt{M}: \texttt{C} \vdash \texttt{tm A} \} \}$

```
rec closed : [ \vdash mstep M M'] \rightarrow Reduce [ \vdash A] [ \vdash M'] \rightarrow Reduce [ \vdash A] [ \vdash M]
= ?;
rec main : {[:ctx}{M:[\Gamma \vdash tm A]} RedSub [ \vdash \sigma] \rightarrow Reduce [ \vdash A] [ \vdash M \sigma] =
mlam \Gamma \Rightarrow mlam M \Rightarrow fn rs \Rightarrow case [[\vdash M ...] of
| [[\vdash #p...] \Rightarrow lookup [[] [[\vdash #p...] rs % Variable
```

```
rec closed : [ \vdash mstep M M'] \rightarrow Reduce [ \vdash A] [ \vdash M'] \rightarrow Reduce [ \vdash A] [ \vdash M]
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mlam \Gamma \Rightarrow mlam M \Rightarrow fn rs \Rightarrow case [\Gamma \vdash M...] of
| [\Gamma \vdash #p...] \Rightarrow lookup [\Gamma] [\Gamma \vdash #p...] rs % Variable
| [\Gamma \vdash app (M1...) (M2...)] \Rightarrow % Application
let Arr ha f = main [\Gamma] [\Gamma \vdash M1...] rs in
f [ \vdash ] (main [\Gamma] [\Gamma \vdash M2...] rs)
```

```
\textbf{rec closed} : [ \vdash \texttt{mstep M M'}] \rightarrow \texttt{Reduce} [ \vdash \texttt{A}] [ \vdash \texttt{M'}] \rightarrow \texttt{Reduce} [ \vdash \texttt{A}] [ \vdash \texttt{M}]
= ? ;
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                                                                                                              % Application
   let Arr ha f = main [\Gamma] [\Gamma \vdash M1...] rs in
   f [\vdash ] (main [\Gamma] [\Gamma \vdash M2...] rs)
\mid [\Gamma \vdash \text{lam} (\lambda x. M1 ... x)] \Rightarrow
                                                                                                             % Abstraction
   Arr [\vdash h/value refl v/lam]
     (mlam N \Rightarrow fn rN \Rightarrow closed [ \vdash s/beta]
                                                     (\min [\Gamma, x: tm] [\Gamma, x \vdash M1 ... x] (Cons rs rN)))
```

```
\textbf{rec closed} : [ \vdash \texttt{mstep M M'}] \rightarrow \texttt{Reduce} [ \vdash \texttt{A}] [ \vdash \texttt{M'}] \rightarrow \texttt{Reduce} [ \vdash \texttt{A}] [ \vdash \texttt{M}]
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                                                                                                                   % Variable
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| [\Gamma \vdash c] \Rightarrow I [\vdash h/value refl v/c];
                                                                                                        % Constant
```

```
\textbf{rec closed} : [ \vdash \texttt{mstep M M'}] \rightarrow \texttt{Reduce} [ \vdash \texttt{A}] [ \vdash \texttt{M'}] \rightarrow \texttt{Reduce} [ \vdash \texttt{A}] [ \vdash \texttt{M}]
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| [\Gamma \vdash c] \Rightarrow I [\vdash h/value refl v/c];
                                                                                                        % Constant
```

- Direct encoding of on-paper proof
- Equations about substitution properties automatically discharged (amounts to roughly a dozen lemmas about substitution and weakening)

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Revisiting the Design of Beluga

• Level 1: Contextual LF

On paper proof	In Beluga [IJCAR'10]
Well-formed derivations Renaming,Substitution	Dependent types $lpha$ -renaming, eta -reduction in LF

Revisiting the Design of Beluga

• Level 1: Contextual LF

On paper proof	In Beluga [IJCAR'10]
Well-formed derivations Renaming,Substitution Well-scoped derivation Context Properties of contexts (weakening, uniqueness) Substitutions (composition, identity)	Dependent types α -renaming, β -reduction in LF Contextual types and objects [TOCL'08] Context schemas Typing for schemas Substitution type [LFMTP'13]

Revisiting the Design of Beluga

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On paper proof	In Beluga [IJCAR'10]
Well-formed derivations Renaming,Substitution Well-scoped derivation Context Properties of contexts (weakening, uniqueness) Substitutions (composition, identity)	Dependent types α -renaming, β -reduction in LF Contextual types and objects [TOCL'08] Context schemas Typing for schemas Substitution type [LFMTP'13]

• Level 2: Functional programming with indexed types [POPL'08, POPL'12]

Case analysis Inversion Induction hypothesis Francisco Ferreira Case analysis and pattern matching Pattern matching using let-expression Recursive call

Programming logical relations proofs

Other Examples and Comparison

- Other examples using logical relations:
 - Weak normalization which evaluates under lambda-abstraction
 - Algorithmic equality for STLC (A. Cave) (draft available)
 - \Longrightarrow Sufficient evidence that Beluga is ideally suited to support such advanced proofs
- Comparison (concentrating on the given weak normalization proof)
 - Coq/Agda formalization with well-scoped de Bruijn indices: dozen additional lemmas
 - Abella: 4 additional lemmas and diverges a bit from on-paper proof
 - Twelf: Too weak to for directly encoding such proofs; Implement auxiliary logic.

What Have We Achieved?

- Foundation for programming proofs in context [POPL'12]
 - Proof term language for first-order logic over contextual LF as domain
 - Uniform treatment of contextual types, context, ...
 - Modular foundation for dependently-typed programming with phase-distinction \Rightarrow Generalization of DML and ATS
- Extending contextual LF with first-class substitutions and their equational theory [LFMTP'13]
- Rich set of examples
 - Type-preserving compiler for simply typed lambda-calculus (O. Savary Belanger, S. Monnier, B. Pientka [CPP'13])
 - (Weak) Normalization proofs (A. Cave and B.Pientka)
- Latest release in Feb'14: Support for indexed data types, first-class substitutions, equational theory behind substitutions

My Current Work

Developing a core calculus for Beluga:

- Elaboration of implicit parameters
- Elaboration to a more primitive core

My Current Work

Developing a core calculus for Beluga:

- Elaboration of implicit parameters (User friendliness, [PPDP'14])
- Elaboration to a more primitive core(Easier to trust, de Bruijn Criterion)

Current Work

- Prototype in OCaml (ongoing next release imminent) providing an interactive programming mode
- Structural recursion (B. Pientka, S. S. Ruan, A. Abel) Develops a foundation of structural recursive functions for Beluga; proof of normalization; prototype implementation under way
- Coinduction in Beluga (D. Thibodeau, B. Pientka, A. Cave) Extending work on simply-typed copatterns [POPL'13] to Beluga
- Case study:
 - Type preserving compiler (O. Savary Belanger, B. Pientka, CPP'13)
 - Proof-carrying authorization with constraints (Tao Xue)
- Extending Beluga to full dependent types (A. Cave)
- Elaboration for dependently typed programs (F. Ferreira, B. Pientka, PPDP'14)
- ORBI Benchmarks for comparing systems supporting HOAS encodings (A. Felty, A. Momigliano, B.Pientka, March 2014)

The End

Thank you!

Download prototype and examples at

http://complogic.cs.mcgill.ca/beluga/

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